

## PART 1 - TRANSMITTAL

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3040

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23914

7590

12/07/2004

STEPHEN B. DAVIS  
BRISTOL-MYERS SQUIBB COMPANY  
PATENT DEPARTMENT  
P O BOX 4000  
PRINCETON, NJ 08543-4000

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Nancy S. Turover	(Depositor's Name)
<i>N. Turover</i>	(Signature)
1/24/2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/620,240

07/15/2003

Yong-Jin Wu

CT-2617-NP

8910

TITLE OF INVENTION: PYRIDOPYRIMIDINE DERIVATIVES AS 5-HT<sub>6</sub> ANTAGONISTS

01/25/2005 AWONDAF2 00000010 193880 10620240

01 FC:1501 1400.00 DA

02 FC:1504 300.00 DA

03 FC:8001 30.00 DA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	03/07/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
RAO, DEEPAK R	1624	514-259400

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Aldo A. Algieri

2.

3.

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Bristol-Myers Squibb Company, Princeton, New Jersey, USA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-3880 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Aldo A. Algieri*

Date

Jan. 24, 2005

Typed or printed name

Aldo A. Algieri

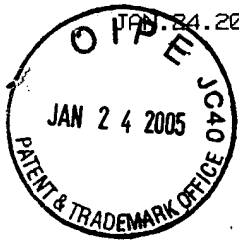
Registration No.

31,697

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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BMS PATENT 203 677 6900

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P.1



**Bristol-Myers Squibb Company**  
P.O. Box 4000  
Princeton, NJ 08543-4000 U.S.A.

# Fax

To:	COMMISSIONER FOR PATENTS USPTO - ISSUE FEE	From:	Aldo A. Algieri Reg. No. 31,697
Fax:	(703) 746-4000	Phone:	203-677-6809
Date:	January 24, 2005	Pages:	3 including cover page
Re:	USSN 10/620,240	Docket:	CT-2617-NP

Document enclosed:

- (1) Part B - Fee Transmittal Sheet (1 page with copy)
- (2) "Fee Address" Indication Form

**CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that the documents listed above are being transmitted via facsimile to the  
United States Patent and Trademark Office on 1/24/2005

Date

N. S. Turover  
Signature

Nancy S. Turover  
Typed or printed name of person signing Certificate

*If you do not receive a complete fax, please contact Nancy Turover @ 203-677-7868.*